
Open Access to Research Outputs Procedures

Policy Sponsor:	Provost and Vice-President Academic
Name of Parent Policy:	Open Access to Research Outputs Policy
Policy Contact:	Manager, Research Services
Procedure Contact:	Manager, Research Services
Effective Date of Procedures:	June 13, 2014
Review Date:	Annual

Purpose

To foster open access to research outputs.

Definitions

Digital repository	A virtual space wherein digital materials are deposited and preserved for future use.
Open Access	Available to the user, via the Internet without restriction and without charge.
Researcher	Anyone who conducts research activities. Includes, but is not limited to, academics, tutors, undergraduate and graduate students, post-doctoral fellows and other personnel involved directly or indirectly in research, including, but not limited to research assistants/associates, technical and support staff, adjunct professors, visiting professors, and institutional administrators.
Research outputs	Include, but are not limited to, full-text publications and research data.
Self-archiving	The process of depositing a document(s) into a digital repository.



Sponsor

An external entity that enters into a written agreement with AU to provide financial or other support for research activities.

Procedure

1. The cost of open access publication of research outputs should be included in the budget of each grant application, if permitted by the research sponsor.
2. Research grant recipients are responsible for ensuring adherence to open access policies governing their research.
3. Researchers are responsible for determining if a publisher's copyright and/or archiving policy are consistent with the requirements of their respective research sponsor(s).
4. Researchers are encouraged to submit manuscripts arising from their research to a publisher that (1) offers immediate open access or open access on its website within twelve (12) months of initial publication or (2) does not offer open access but permits the researcher to self-archive the publication in a central or institutional digital repository within twelve (12) months of publication.
5. Other research outputs may be self-archived in a permanent institutional digital repository or archive that adheres to internationally recognized standards for open access.

Applicable Legislation and Regulations

[Alberta Freedom of Information and Protection of Privacy Act, R.S.A. 2000, c. F-25](#)

Related References, Policies, Procedures and Forms

[Open Access to Research Outputs Policy](#)

[Fair Dealing Policy](#)

[Fair Dealing Procedures](#)

[Budapest Open Access Initiative](#)

Databases, such as SHERPA/RoMEO, which contain searchable lists of journal publisher's copyright and self-archiving policies.

Publicly accessible repositories and archives, such as Directory of Open Access Repositories, Institutional Repositories at Canadian Universities, and PubMed Central Canada.

Tri-Agency policies related to open access publication, including

[Canadian Institutes of Health Research \(CIHR\) Open Access Policy](#)

[http://www.cihr-irsc.gc.ca/e/32005.html \(and as amended or replaced\)](http://www.cihr-irsc.gc.ca/e/32005.html)

[Natural Sciences and Engineering Research Council \(NSERC\) Use of Grant Funds](#)



http://www.nserc-crsng.gc.ca/Professors-Professeurs/FinancialAdminGuide-guideAdminFinancier/FundsUse-UtilisationSubventions_eng.asp (and as amended or replaced)

Social Sciences and Humanities Research Council (SSHRC) *Open Access*
http://www.sshrc-crsh.gc.ca/about-au_sujet/policies-politiques/open_access-libre_acces/index-eng.aspx (and as amended or replaced)

History

Governors of Athabasca University, June 13, 2014, Motion # 190-05 (associated policy approved)